## CEAT Limited Shareholding Pattern for the quarter ended September 30, 2016

1 Name of Listed Entity: CEAT LIMITED

2 Scrip Code/Name of Scrip/Class of Security 500878/CEATLTD/EQUITY

3 Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c) Reg. 31(1)(b)

a. If under 31(1)(b) then indicate the report for Quarter ending:

September 30, 2016

b. If under 31(1)(c) then indicate date of allotment/extinguishment N.A

**Declaration**: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

5 The tabular format for disclosure of holding of specified securities is as follows:-

<sup>\*</sup> If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

Table I - Summary Statement holding of specified securities

Cate- gory	Category of shareholder	Nos. of share- holders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number (	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)		of Locked hares	Number of pledg other encum	ed or rwise	Number of equity shares held in dematerialized form		
(1)	(11)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+				(IX)		(X)	(XI)= (VII)+(X)			(XII)		(XIII)		(XIV)
						(VI)	As a % of (A+B+C2)	No	of Voting R	ights *	Total as a % of (A+B+C)		As a % of (A+B+C2)	No.	As a % of total Shares held	No.	As a % of total Shares held			
								Class eg: X	Class eg:y	Total	(АТВТС)			(a)	(b)	(a)	(b)			
(A)	Promoter & Promoter Group	16	20533743	0	0	20533743	50.76	20533743	0	20533743	50.76	0	50.76	0	0.00	0	0.00	18751395		
(B)	Public	58366	19916349	0	0	19916349	49.24	19916349	0	19916349	49.24	0	49.24	0	0.00	N	Α	19225554		
(C)	Non Promoter- Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	N	A	0		
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	N	Α	0		
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	N	A	0		
	Total	58382	40450092	0	0	40450092	100.00	40450092	0.00	40450092	100.00	0	100.00	0	0.00	0	0.00	37976949		

<sup>\*</sup>the Company has only one class of shares

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rig secu	hts held in ea	ch class of	No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Loc	cked in shares		nares pledged or encumbered	Number of equity shares held in dematerialized form
	(1)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of	(	IX)		(X)	(XI) = (VII)+(X) as a % of	(XI	11)	()	KIII)	(XIV)
							(A+B+C2)			Total as a %		A+B+C2		As a % of		As a % of	i
							(VIII)	No of Voting Rig	hts	of Total			No.	total Shares	No.	total shares	
														held		held	
								Class X Class Y	Total	Voting rights			(a)	(b)	(a)	(b)	
(1)	Indian																
(a)	Individuals/Hindu undivided Family	4	148117	0	c	148117	0.37	148117	148117	0.37	0	0.37		0.00		0.00	148117
i	Anant VardhanGoenka	1	14185	0	O	14185	0.04	14185	14185	0.04	0	0.04		0.00		0.00	14185
ii	Harsh Vardhan Goenka	1	120000	0	O	120000	0.30	120000	120000	0.30	0	0.30		0.00		0.00	120000
iii	Harsh Vardhan Goenka	1	10133	0	O	10133	0.03	10133	10133	0.03	0	0.03		0.00		0.00	10133
iv	Harsh Vardhan Goenka	1	3799	0	O	3799	0.01	3799	3799	0.01	0	0.01		0.00		0.00	3799
												0.00					
(b)	Central Government/ State Government(s)	0	0	0	o	0	0.00	0 0	0	0.00	0	0.00		0.00		0.00	0
(c)	Financial Institutions/ Banks	ا	ol o	0		ol o	0.00	0 0	o o	0.00	0	0.00		0.00		0.00	0
(d)	Any Other (specify)	11	18603278	0		18603278	45.99	18603278	18603278	45.99	0	45.99		0.00		0.00	18603278
(d-1)	1		10003278		1	10003278	43.55	10003270	10003276	43.33	l	43.33		3.00		0.00	10003278
(u 1)	Instant Holdings Limited	1	11510812	0		11510812	28.46	11510812	11510812	28.46	0	28.46		0.00		0.00	11510812
1 :	Swallow Associates LLP		4484624	0	1	4484624	11.09	4484624	4484624	11.09	0			0.00		0 0.00	4484624
				0							0	11.09					
iii	STEL Holdings Limited	1	1372835	0		1372835	3.39	1372835	1372835	3.39	0	3.39		0.00		0.00	1372835
iv	Summit Securities Ltd	1	959125	0	0	959125	2.37	959125	959125	2.37	0	2.37		0.00		0.00	959125
v	Chattarpati Investments Ltd*	1	275876	0	0	275876	0.68	275876	275876	0.68	0	0.68		0.00		0.00	275876
vi	SUDARSHAN ELECTRONICS AND TV LTD	1	1	0	C	1	0.00	1 0	1	0.00	0	0.00		0.00		0.00	1
(d-2)	Trust																
	Harsh Vardhan Goenka (in the Capacity					1	0.00	,		0.00		0.00		0.00		0.00	
i	Trustee of Steller Energy Trust)	-	1	0		Ί 1	0.00	1	'  1	0.00	0	0.00		o e			1
	Harsh Vardhan Goenka (in the Capacity Trustee	1		0		1	0.00			0.00	0	0.00		0.00		0.00	
ii	of Nucleus Life Trust)	-	1		1	1	0.00	1	1	0.00		0.00					1
	Harsh Vardhan Goenka (in the Capacity Trustee	1	1	0		1	0.00	(	)	0.00	0	0.00		0.00		0.00	
iii	of Crystal India Tech Trust)	-	1	·		1	0.00	1	1	0.00		0.00					1
	Harsh Vardhan Goenka (in the Capacity	1	1 1	0	0	1	0.00			0.00	0	0.00		0.00		0.00	
iv	Trustee of Monitor Portfolio Trust)		1		_	-		1	1		_						1
	Harsh Vardhan Goenka (in the Capacity of Trustee of Secura India Trust)	1	1	0	c c	1	0.00	, (	) .	0.00	0	0.00		0.00		0.00	
	Trustee of Secura India Trust)							1	1								1
	Sub-Total (A)(1)	15	18751395	0	O	18751395	46.36	18751395	18751395	46.36	0	46.36		0.00		0.00	18751395
(2)	Foreign					1											
1	Individuals (Non-Resident Individuals/		]		ĺ	1			J								
(a)	Foreign Individuals)	C	0	0	0	0	0.00	0 0	0	0.00	0	0.00		0.00		0.00	0
(b)	Government		ا ا			م ا	0.00	ا ا	م ا	0.00	n	0.00		0.00		0 0.00	١
(c)	Institutions		() \( \)			íl s	0.00		J ,	0.00		0.00		0.00		0.00	"
(0)	liistitutiolis		1			ή	0.00	9	<b>′</b> 1	0.00		0.00		0.00		0.00	0
(d)	Foreign Portfolio Investor	l c	0	0	0	o o	0.00	d	0	0.00	0	0.00		0.00		0.00	
. ,								0									0
(f)	Any Other (specify)	1	1782348	0	0	1782348	4.41	1782348	1782348	4.41	0	4.41		0.00		0.00	0
(f-i)		C	0	0	C	0	0.00	<b>0</b> 0	0	0.00	0	0.00		0.00		0.00	0
	Societe Ceat D Investissements EN Asie S	1	1782348	n		1782348	4.41	470	1782348	4.41	n	4.41		0.00		0.00	-
1	A, SPF							1782348									0
<u> </u>	Sub-Total (A)(2)	1	1782348	0	0	1782348	4.41	1782348 0	1782348	4.41	0	4.41		0.00		0 0.00	0
1	otal Shareholding of Promoter and Promoter	1			ĺ				1								
	Group (A)= (A)(1)+(A)(2)	16		0	0	20533743		20533743	20533743		0	50.77		0.00		0.00	18751395
Detai	Is of Shares which remain unclaimed may be gi	iven hear along wit	th details such as nu	mber of sharehold	lers, outstanding sh	nares held in demat	/unclaimed suspens	se account, voting rights wh	nich are frozer	etc.							

<sup>\*</sup>The name of the company has been changed from "Chattarpati Investments Limited" to "Chattarpati Apartment Limited" w.e.f November 18, 2003, in accordance with the Fresh Certificate of Incorpoartion consequent upon change of name issued by the Registrar of Companies, Maharashtra, Mumbai. Further Chattarpati Apartments Limited was converted into a Limited Liability Partnership on July 25, 2014 vide Certificateof Registration on Conversion of Chattarpati Apartment Limited to Chattarpati Apartment LIP issued by the Registrar of Companies, Maharashtra, Mumbai.

Category & Name of the Shareholder		Nos. of sharehol der	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of		ghts held in eac urities	ch class of	No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)		of Locked nares	Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form
f	(1)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2)			(IX)		(x)	(XI) = (VII)+(X) as a % of	()	(II)	(XIII)	(XIV)
		` ′	, ,	, ,	, ,	,	(VIII)					` ,	A+B+C2	•		As a % o	f
							, ,									total	
											Total as a				As a % of	No. (not shares	
								No o	f Voting R	ights	% of Total			No.	total	applicabl held	
										•	Voting				Shares	e) (Not	
											rights				held	applicab	ı
																e)	1
								Class X	Class Y	Total	1			(a)	(b)	(a) (b)	1 1
(1) I	nstitutions							0.00011		1				\- <i>i</i>	(-/	(=)	
	Mutual Funds/UTI	41	2165976	0	0	2165976	5.35	2165976	0	2165976	5.35	d	5.35	C	0.00	NA	2153426
(a-i)	Mirae Asset Emerging Bluechip Fund (*)	3	8,83,542	0	0	883542	2.18	883542		883542	2.18	a	2.18	0	0.00	N.A	8,83,542
(a-ii)	Dsp Blackrock (*)	2	4,47,644	0	0	447644	1.11	447644		447644	1.11	a	1.11	0	0.00	N.A	4,47,644
(b) \	Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	d	0.00	0	0.00	NA	0
(c)	Alternate Investment Funds	1	1500	n	_	1500	0.00	1500	^	1500	0.00	۱ .	0.00	_	0.00	NA NA	1500
	Foreign Venture Capital Investors	0	1300	0		1300	0.00		0	1500	0.00		0.00		0.00	NA NA	1300
·,	5 <sub>-</sub> - · · · · · · · · · · · · · · · · · ·		Ĭ		Ĭ	1	]		·	I	0.00	]	0.50		3.30		1
(e) F	Foreign Portfolio Investors	129	10315387	0	0	10315387	25.50	10315387	0	10315387	25.50	d	25.50	o c	0.00	NA	10307777
(e-i)	Amansa Holdings Private Limited	1	20,99,884	0	0	2099884	5.19	2099884	0	2099884	5.19		5.19	C	0.00	NA	20,99,884
(e-ii)	JWALAMUKHI INVESTMENT HOLDINGS	1	18,20,944	0	0	1820944	4.50	1820944	0	1820944	4.50	C C	4.50	C	0.00	NA	18,20,944
	KOTAK MAHINDRA (INTERNATIONAL)		14,32,897														14,32,897
(e-iii) L	LIMITED	1	14,02,037	0	0	1432897	3.54	1432897	0	1432897	3.54	C	3.54	C	0.00	NA	14,02,097
[	DIMENSIONAL EMERGING MARKETS		5,80,945			500045		500045		500045			l		0.00		5,80,945
(e-iv) \	VALUE FUND Financial Institutions/ Banks	47	24775	0	0	580945 24775	1.44 <b>0.06</b>		0	580945 24775			1.44 0.06		0.00 <b>0.00</b>	NA NA	19662
(f) F (g) I	Insurance Companies	12	990795	U		990795		-	0	990795			2.45		0.00	NA NA	990720
(g-i)	The New India Assurance Company Limited	13	4,70,937	0		470937	1.16		0	470937	1.16		1.16		0	NA NA	470937
(h) F	Provident Funds/ Pension Funds	0	4,70,557	0	١	1,033,	0.00		0	4,055,	0.00		0.00		0.00	NA NA	4,033,
	Any Other (specify)	0	0	0	0	0	0.00	0	0	0	0.00		0.00	C	0.00	NA	0
	Sub-Total (B)(1)	231	13498433	0	0	13498433	33.37	13498433	0	13498433	33.37	0	33.37	0	0.00	NA	13473085
	Central Government/ State Government(s)/																
(2) F	President of India	2	9700	0	0	9700			0	9700			0.02		0.00	NA	0
(2)	Sub-Total (B)(2) Non-institutions	2	9700	0	0	9700	0.02	9700	0	9700	0.02	0	0.02		0.00	NA	0
(3) I	ndividuals -	57083	3971947	0	0	3971947	9.82	3971947		3971947	9.82		9.82		0.00	NA	3336847
(",	. Individual shareholders holding nominal	3,003	53, 154,	·		33,134,	3.02	0371347		3372347	3.02	_	3.02		0.00		33355.77
	share capital up to Rs. 2 lakhs.	57081	3922245	0	0	3922245	9.70	3922245	0	3922245	9.70	o c	9.70	0	0.00	NA	3287145
	i. Individual shareholders holding nominal																
s	share capital in excess of Rs. 2 lakhs.	2	49702	0	0	49702	0.12	49702	0	49702	0.12	O.	0.12	C	0.00	NA	49702
(d)	NBFCs registered with RBI	1	10	0	0	10	0.00	10	0	10	0.00	C	0.00	C	0.00	NA	10
(c) E	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	O.	0.00	O	0.00	NA	0
	Overseas Depositories (holding DRs)																
	balancing figure)	0	0	0	0	0	0.00		0	0	0.00		0.00	C	0.00	NA	0
	Any Other (specify)	1049	2436259	0	0	2436259			0	2436259			6.02	0	0.00	NA	2415612
	Trusts	6	2107	0	0	2107			0	2107			0.01		0.00	NA NA	2107
II E	Bodies Corporate	421	172386	0	0	172386	0.43	172386	0	172386	0.43	C C	0.43		0.00	NA	151783
iii l	UNCLAIMED SECURITIES SUSPENSE ACCOUNT	1	137602	0	0	137602	0.34	137602	0	137602	0.34		0.34		0.00	NA	137602
iv	Clearing Members	607	675193	n	n	675193		675193	n	675193			1.67		0.00	NA NA	675193
v l	Limited Liablity Partnership - LLP	10	24552	0	0	24552			0	24552			0.06	, c	0.00	NA NA	24552
vi (	OCBs/Foreign Companies	2	1421412	0	0	1421412	3.51	1421412	0	1421412			3.51	C	0.00	NA	1421375
(vi-i)	Westbridge Crossover Fund, Llc	1	1421375	0	0	1421375			0	1421375			3.51	c	0.00	NA	1421375
vii [	Directors & Relatives	2	3007	0	0	3007	0.01		0	3007			0.01	0	0.00	NA	3000
	Sub-Total (B)(3)	58133	6408216	0	0	6408216	15.84	6408216	0	6408216	15.8422779	0	15.84	0	0	0	5752469
otal Pub	olic Shareholding																
	.)+(B)(2)+(B)(3)	58366	19916349	^		19916349	49.23	19916349	Λ.	19916349	49.23	1	49.23			NA	19225554

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Shares which remain unclaimed has been transferred to CEAT Limited-Unclaimed Securities Suspense Account (12024300-00080409) and as on September 30, 2016, 137602 outstanding shares represeting 4668 shareholders are held in the said demat account. The voting rights on these shares shall remain frozen till the rightful owner of such shares claims the share

<sup>(\*)</sup> Shareholding has been reported based on Common PAN irrespective of the Sub-Accounts

	Category & Name of the Shareholders	PAN	No. of sharehol der	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number		Rights he ecurities	eld in each class of	No. of Shares Underlying Outstanding convertible securities (including Warrants)	a % assuming full  conversion of Number of Locked convertible securities shares			Number of Shares pledged otherwise encumbered		Number of equity shares held in dematerialized form
	(1)	(II)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2) (VIII)	(IX)				(x)	(XI) = (VII)+(X) as a % of	(XII)		(XIII)		(XIV)
										Voting Rig		Total as a % of Total Voting rights		A+B+C2	No.	As a % of total Shares held (b)	No. (not appli-cable)	No. (not shares held sppli-cable) (Not applicable)	(Not Applicable)
(a)	Custodian/DR Holder Name of DR Holder (if available) abc,,, efg		0	0	(	0	0	0.00		0	0	0.00	0	0.00	(a)	0.00		NA NA NA	
	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	(	0	0	0.00	0	0	0	0.00	0	0.00	(	0.00		0	
otal	Name (abc Non-Promoter- Non Public cholding (C)= (C)(1)+(C)(2)		0	0	(	0	0	0.00	0	0	0	0.00	0	0.00		0 0.00		NA NA	