

CEAT Limited
Shareholding Pattern for the quarter ended December 31, 2016

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|---|--|-----------------------|
| 1 | Name of Listed Entity: | CEAT LIMITED |
| 2 | Scrip Code/Name of Scrip/Class of Security | 500878/CEATLTD/EQUITY |
| 3 | Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c) | Reg. 31(1)(b) |
| | a. If under 31(1)(b) then indicate the report for Quarter ending : | 31-Dec-16 |
| | b. If under 31(1)(c) then indicate date of allotment/extinguishment | N.A |
| 4 | Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:- | |

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)					
								No of Voting Rights *					Total as a % of (A+B+C)	No.	As a % of total Shares held	No.		As a % of total Shares held				
								Class eg: X	Class eg: y	Total									(a)	(b)	(a)	(b)
(A)	Promoter & Promoter Group	16	20533743	0	0	20533743	50.77	20533743	0	20533743	50.77	0	50.77	0	0.00	0	0.00	18751395				
(B)	Public	60543	19916349	0	0	19916349	49.23	19916349	0	19916349	49.23	0	0.00	0	0.00	NA	NA	19228606				
(C)	Non Promoter- Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0				
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0				
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0				
	Total	60559	40450092	0	0	40450092	100.00	40450092	0.00	40450092	100.00	0	0.00	0	0.00	0	0.00	37980001				

*the Company has only one class of shares

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form				
								As a % of (A+B+C2) (VIII)	(IX)				(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)			
									No of Voting Rights						Total as a % of Total Voting rights	No.		As a % of total Shares held	No.	As a % of total shares held	
									Class X	Class Y											Total
(1)	Indian																				
(a)	Individuals/Hindu undivided Family	4	148117	0	0	148117	0.37	148117	0	148117	0.37	0	0.00	0	0.00	0	0.00	148117			
i	Anant Vardhan Goenka	1	14185	0	0	14185	0.04	14185	0	14185	0.04	0	0.00	0	0.00	0	0.00	14185			
ii	Harsh Vardhan Goenka	1	120000	0	0	120000	0.30	120000	0	120000	0.30	0	0.00	0	0.00	0	0.00	120000			
iii	Harsh Vardhan Goenka	1	10133	0	0	10133	0.03	10133	0	10133	0.03	0	0.00	0	0.00	0	0.00	10133			
iv	Harsh Vardhan Goenka	1	3799	0	0	3799	0.01	3799	0	3799	0.01	0	0.00	0	0.00	0	0.00	3799			
(b)	Central Government/ State Government(s)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0			
(c)	Financial Institutions/ Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0			
(d)	Any Other (specify)	11	18603278	0	0	18603278	45.99	18603278	0	18603278	45.99	0	0.00	0	0.00	0	0.00	18603278			
(d-1)	Bodies Corporate																				
i	Instant Holdings Limited	1	11510812	0	0	11510812	28.46	11510812	0	11510812	28.46	0	0.00	0	0.00	0	0.00	11510812			
ii	Swallow Associates LLP	1	4484624	0	0	4484624	11.09	4484624	0	4484624	11.09	0	0.00	0	0.00	0	0.00	4484624			
iii	STEL Holdings Limited	1	1372835	0	0	1372835	3.39	1372835	0	1372835	3.39	0	0.00	0	0.00	0	0.00	1372835			
iv	Summit Securities Ltd	1	959125	0	0	959125	2.37	959125	0	959125	2.37	0	0.00	0	0.00	0	0.00	959125			
v	Chattarpati Investments Ltd*	1	275876	0	0	275876	0.68	275876	0	275876	0.68	0	0.00	0	0.00	0	0.00	275876			
vi	SUDARSHAN ELECTRONICS AND TV LTD	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	0	0.00	0	0.00	1			
(d-2)	Trust																				
i	Harsh Vardhan Goenka (in the Capacity Trustee of Steller Energy Trust)	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	0	0.00	0	0.00	1			
ii	Harsh Vardhan Goenka (in the Capacity Trustee of Nucleus Life Trust)	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	0	0.00	0	0.00	1			
iii	Harsh Vardhan Goenka (in the Capacity Trustee of Crystal India Tech Trust)	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	0	0.00	0	0.00	1			
iv	Harsh Vardhan Goenka (in the Capacity Trustee of Monitor Portfolio Trust)	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	0	0.00	0	0.00	1			
v	Harsh Vardhan Goenka (in the Capacity of Trustee of Secura India Trust)	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	0	0.00	0	0.00	1			
	Sub-Total (A)(1)	15	18751395	0	0	18751395	46.36	18751395	0	18751395	46.36	0	0.00	0	0.00	0	0.00	18751395			
(2)	Foreign																				
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0			
(b)	Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0			
(c)	Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0			
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0			
(f)	Any Other (specify)	1	1782348	0	0	1782348	4.41	1782348	0	1782348	4.41	0	0.00	0	0.00	0	0.00	0			
(f-i)	Bodies Corporate	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0			
1	Societe Ceat D Investissements EN Asie S A, SPF	1	1782348	0	0	1782348	4.41	1782348	0	1782348	4.41	0	0.00	0	0.00	0	0.00	0			
	Sub-Total (A)(2)	1	1782348	0	0	1782348	4.41	1782348	0	1782348	4.41	0	0.00	0	0.00	0	0.00	0			
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	16	20533743	0	0	20533743	50.77	20533743	0	20533743	50.77	0	0.00	0	0.00	0	0.00	18751395			

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

*The name of the company has been changed from "Chattarpati Investments Limited" to "Chattarpati Apartment Limited" w.e.f November 18, 2003, in accordance with the Fresh Certificate of Incorporation consequent upon change of name issued by the Registrar of Companies, Maharashtra, Mumbai. Further Chattarpati Apartments Limited was converted into a Limited Liability Partnership on July 25, 2014 vide Certificate of Registration on Conversion of Chattarpati Apartment Limited to Chattarpati Apartment LLP issued by the Registrar of Companies, Maharashtra, Mumbai.

	Category & Name of the Shareholders	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form		
								(IX)					(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)	
								No of Voting Rights		Total as a % of Total Voting rights					No.	As a % of total Shares held		No. (not applicable)	As a % of total shares held (Not applicable)
								Class X	Class Y										
(1)	Institutions																		
(a)	Mutual Funds/UTI	34	1285180	0	0	1285180	3.18	1285180	0	1285180	3.18	0	0.00	NA		1272630			
(a-i)	Mirae Asset Emerging Bluechip Fund (*)	3	6,73,042	0	0	673042	1.66	673042	0	673042	1.66	0	0.00	NA		6,73,042			
(a-ii)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA		0			
(b)	Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA		0			
(c)	Alternate Investment Funds	1	6000	0	0	6000	0.01	6000	0	6000	0.01	0	0.00	NA		6000			
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA		0			
(e)	Foreign Portfolio Investors	142	11062889	0	0	11062889	27.35	11062889	0	11062889	27.35	0	0.00	NA		11055279			
(e-i)	Amansa Holdings Private Limited	1	20,99,884	0	0	2099884	5.19	2099884	0	2099884	5.19	0	0.00	NA		20,99,884			
(e-ii)	JWALAMUKHI INVESTMENT HOLDINGS	1	18,20,944	0	0	1820944	4.50	1820944	0	1820944	4.50	0	0.00	NA		18,20,944			
(e-iii)	Kotak Mahindra (International) Limited	1	14,32,897	0	0	1432897	3.54	1432897	0	1432897	3.54	0	0.00	NA		14,32,897			
(e-iv)	Dimensional Emerging Markets Value Fund	1	5,02,163	0	0	502163	1.24	502163	0	502163	1.24	0	0.00	NA		5,02,163			
(f)	Financial Institutions/ Banks	48	14992	0	0	14992	0.04	14992	0	14992	0.04	0	0.00	NA		9879			
(g)	Insurance Companies	14	949329	0	0	949329	2.35	949329	0	949329	2.35	0	0.00	NA		949254			
(g-i)	The New India Assurance Company Limited	1	4,70,937	0	0	470937	1.16	470937	0	470937	1.16	0	0.00	NA		470937			
(h)	Provident Funds/ Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA		0			
(i)	Any Other (specify)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA		0			
	Sub-Total (B)(1)	239	13318390	0	0	13318390	32.93	13318390	0	13318390	32.93	0	0.00	NA		13293042			
(2)	Central Government/ State Government(s)/ President of India	2	9700	0	0	9700	0.02	9700	0	9700	0.02	0	0.00	NA		0			
	Sub-Total (B)(2)	2	9700	0	0	9700	0.02	9700	0	9700	0.02	0	0.00	NA		0			
(3)	Non-institutions																		
(a)	Individuals -	59304	4051620	0	0	4051620	10.02	4051620		4051620	10.02	0	0.00	NA		3419597			
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	59302	3986989	0	0	3986989	9.86	3986989	0	3986989	9.86	0	0.00	NA		3354966			
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	2	64631	0	0	64631	0.16	64631	0	64631	0.16	0	0.00	NA		64631			
(b)	NBFCs registered with RBI	4	2386	0	0	2386	0.00	2386	0	2386	0.01	0	0.00	NA		2386			
(c)	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA		0			
(d)	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA		0			
(e)	Any Other (specify)	994	2534253	0	0	2534253	6.27	2534253	0	2534253	6.27	0	0.00	NA		2513581			
	i Trusts	6	2078	0	0	2078	0.01	2078	0	2078	0.01	0	0.00	NA		2078			
	ii Bodies Corporate	422	459939	0	0	459939	1.14	459939	0	459939	1.14	0	0.00	NA		439311			
	iii UNCLAIMED SECURITIES SUSPENSE ACCOUNT	1	1,37,490	0	0	137490	0.34	137490	0	137490	0.34	0	0.00	NA		137490			
	iv Clearing Members	549	504972	0	0	504972	1.25	504972	0	504972	1.25	0	0.00	NA		504972			
	v Limited Liability Partnership - LLP	12	5355	0	0	5355	0.01	5355	0	5355	0.01	0	0.00	NA		5355			
	vi OCBs/Foreign Companies	2	1421412	0	0	1421412	3.51	1421412	0	1421412	3.51	0	0.00	NA		1421375			
	(vi-i) Westbridge Crossover Fund, Llc	1	1421375	0	0	1421375	3.51	1421375	0	1421375	3.51	0	0.00	NA		1421375			
	vii Directors & Relatives	2	3007	0	0	3007	0.01	3007	0	3007	0.01	0	0.00	NA		3000			
	Sub-Total (B)(3)	60302	6588259	0	0	6588259	16.28	6588259	0	6588259	16.29	0	0	0		5935564			
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	60543	19916349	0	0	19916349	49.23	19916349	0	19916349	49.23	0	0	NA		19228606			

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Shares which remain unclaimed has been transferred to CEAT Limited-Unclaimed Securities Suspense Account (12024300-0080409) and as on December 31, 2016, 137490 outstanding shares representing 4666 shareholders are held in the said demat account. The voting rights on these shares shall remain frozen till the rightful owner of such shares claims the shares.

Note:

- PAN would not be displayed on website of Stock Exchange(s).
- The above format needs to be disclosed along with the name of following persons:
Institutions/Non Institutions holding more than 1% of total number of shares.
- W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,

(*) Shareholding has been reported based on Common PAN irrespective of the Sub-Accounts

	Category & Name of the Shareholders	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form											
																		(IX)		(XIV)								
								(I)	(III)	(IV)			(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2) (VIII)		No of Voting Rights			Total as a % of Total Voting rights	(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)		(XIII)		(XIV)
								Class X					Class Y			Total		(a)	(b)	(a)	(b)	(a)	(b)	(Not Applicable)				
(1)	Custodian/DR Holder	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00								0	0		
(a)	Name of DR Holder (if available)																											
(i)	abc,...																											
(ii)	efg,...																											
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0	0								
(a)	Name (abc,...																											
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0	0	0								

Note

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.