

Format of holding of specified securities

- | | | |
|---|---|-----------------------|
| 1 | Name of Listed Entity: | CEAT LIMITED |
| 2 | Scrip Code/Name of Scrip/Class of Security | 500878/CEATLTD/EQUITY |
| 3 | Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c) | Reg. 31(1)(b) |
| | a. If under 31(1)(b) then indicate the report for Quarter ending : | 31St Dec,2019 |
| | b. If under 31(1)(c) then indicate date of allotment/extinguishment | N.A |

- 4 **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

CEAT LIMITED
Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form		
																		(IX)	
								No of Voting Rights *					Total as a % of (A+B+C)	As a % of (A+B+C2)	No.	As a % of total Shares held		No.	As a % of total Shares held
								Class eg: X	Class eg:y	Total									
(A)	Promoter & Promoter Group	25	1,88,81,383	0	0	1,88,81,383	46.68	1,88,81,383	0	1,88,81,383	46.68	0	46.68	0	0.00	0	0.00	1,88,81,383	
(B)	Public	78,269	2,15,68,709	0	0	2,15,68,709	53.32	2,15,68,709	0	2,15,68,709	53.32	0	48.92	0	0.00	NA		2,11,23,439	
(C)	Non Promoter- Non Public		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0	
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0	
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA		0	
	Total	78294	4,04,50,092	0	0	4,04,50,092	100.00	4,04,50,092	0.00	4,04,50,092	100.00	0	95.60	0	0.00	0	0.00	4,00,04,822	

*the Company has only one class of shares

CEAT LIMITED
Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form			
									(IX)					(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)			(XIII)		
									No of Voting Rights							Total as a % of Total Voting rights	No.		As a % of total Shares held	No.	As a % of total shares held
									Class X	Class Y	Total										
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2) (VIII)												(XIV)		
(1)	Indian																				
(a)	Individuals/Hindu undivided Family		4	1,48,137	0	0	1,48,137	0.37	1,48,137	0	1,48,137	0.37	0	0.00	0	0.00	0	0.00	1,48,137		
i	Anant Vardhan Goenka	AENPG5875N	1	14,185	0	0	14,185	0.04	14,185	0	14,185	0.04	0	0.00	0	0.00	0	0.00	14,185		
ii	Harsh Vardhan Goenka	AABPG7041G	1	1,33,932	0	0	1,33,932	0.33	1,33,932	0	1,33,932	0.33	0	0.00	0	0.00	0	0.00	1,33,932		
iii	RADHA ANANT GOENKA	AABPB4737E	1	10	0	0	10	0.00	10	0	10	0.00	0	0.00	0	0.00	0	0.00	10		
iv	MALA GOENKA	ADPPG2821B	1	10	0	0	10	0.00	10	0	10	0.00	0	0.00	0	0.00	0	0.00	10		
(b)	Central Government/ State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(c)	Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(d)	Any Other (specify)		21	1,87,33,246	0	0	1,87,33,246	46.31	1,87,33,246	0	1,87,33,246	46.31	0	0.00	0	0.00	0	0.00	1,87,33,246		
(d-1)	Bodies Corporate																				
i	Instant Holdings Limited	AACCK5600M	1	1,18,16,662	0	0	1,18,16,662	29.21	1,18,16,662	0	1,18,16,662	29.21	0	0.00	0	0.00	0	0.00	1,18,16,662		
ii	Swallow Associates LLP	ACGFS5041R	1	44,84,624	0	0	44,84,624	11.09	44,84,624	0	44,84,624	11.09	0	0.00	0	0.00	0	0.00	44,84,624		
iii	STEL Holdings Limited	AADCS3332H	1	14,16,757	0	0	14,16,757	3.50	14,16,757	0	14,16,757	3.50	0	0.00	0	0.00	0	0.00	14,16,757		
iv	Summit Securities Ltd	AAACR4334B	1	10,14,230	0	0	10,14,230	2.51	10,14,230	0	10,14,230	2.51	0	0.00	0	0.00	0	0.00	10,14,230		
v	Chattarpati Apartments LLP	AAJFC6572C	1	876	0	0	876	0.00	876	0	876	0.00	0	0.00	0	0.00	0	0.00	876		
vi	EKTARA ENTERPRISES LLP	AAEFE0412E	1	10	0	0	10	0.00	10	0	10	0.00	0	0.00	0	0.00	0	0.00	10		
vii	VAYU UDAAN AIRCRAFT LLP	AAKFV1891N	1	10	0	0	10	0.00	10	0	10	0.00	0	0.00	0	0.00	0	0.00	10		
viii	SOFREAL MERCANTRADE PVT LTD	AASCS1973M	1	10	0	0	10	0.00	10	0	10	0.00	0	0.00	0	0.00	0	0.00	10		
ix	MALABAR COASTAL HOLDINGS LLP	AAWFM1821H	1	10	0	0	10	0.00	10	0	10	0.00	0	0.00	0	0.00	0	0.00	10		
x	ATLANTUS DWELLINGS AND INFRASTRUCTURE LL	AAZFA1431G	1	10	0	0	10	0.00	10	0	10	0.00	0	0.00	0	0.00	0	0.00	10		
xi	SUDARSHAN ELECTRONICS AND TV LTD	AAHCS9875P	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	0	0.00	0	0.00	1		
(d-2)	Trust																				
i	Harsh Vardhan Goenka (in the Capacity of Trustee of Stellar Energy Trust)	AALTS1767R	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	0	0.00	0	0.00	1		
ii	Harsh Vardhan Goenka (in the Capacity of Trustee of Nucleus Life Trust)	AABTN5833D	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	0	0.00	0	0.00	1		
iii	Harsh Vardhan Goenka (in the Capacity of Trustee of Crystal India Tech Trust)	AABTC3542J	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	0	0.00	0	0.00	1		
iv	Harsh Vardhan Goenka (in the Capacity of Trustee of Monitor Portfolio Trust)	AADTM0341H	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	0	0.00	0	0.00	1		
v	Harsh Vardhan Goenka (in the Capacity of Trustee of Secura India Trust)	AALTS1766Q	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	0	0.00	0	0.00	1		
vi	Harsh Vardhan Goenka (in the Capacity of Trustee of Prism Estates Trust)	AACTP0626M	1	1	0	0	1	0.00	1	0	1	0.00	0	0.00	0	0.00	0	0.00	1		
vii	Anant Vardhan Goenka (in the Capacity of Trustee of AVG Family Trust)	AAFTA6761P	1	10	0	0	10	0.00	10	0	10	0.00	0	0.00	0	0.00	0	0.00	10		
viii	Anant Vardhan Goenka (in the Capacity of Trustee of RG Family Trust)	AACTR9923K	1	10	0	0	10	0.00	10	0	10	0.00	0	0.00	0	0.00	0	0.00	10		
ix	Harsh Vardhan Goenka (in the Capacity of Trustee of Navya Goenka Trust)	AADTN1486G	1	10	0	0	10	0.00	10	0	10	0.00	0	0.00	0	0.00	0	0.00	10		
x	Harsh Vardhan Goenka (in the Capacity of Trustee of Ishann Goenka Trust)	AABTI6917K	1	10	0	0	10	0.00	10	0	10	0.00	0	0.00	0	0.00	0	0.00	10		
	Sub-Total (A)(1)		25	1,88,81,383	0	0	1,88,81,383	46.68	1,88,81,383	0	1,88,81,383	46.68	0	0.00	0	0.00	0	0.00	1,88,81,383		
(2)	Foreign																				
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(b)	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(c)	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(f)	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(f-i)	Bodies Corporate		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		25	1,88,81,383	0	0	1,88,81,383	46.68	1,88,81,383	0	1,88,81,383	46.68	0	0.00	0	0.00	0	0.00	1,88,81,383		

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

- PAN would not be displayed on website of Stock Exchange(s).
- The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

CEAT Limited
Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights					Total as a % of Total Voting rights	(XII)	(XIII)			
									Class X	Class Y	Total					No.	As a % of total Shares held		No. (not applicable)
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2) (VIII)	(IX)			(X)	(XI) = (VII)+(X) as a % of A+B+C2	(a)	(b)	(a)	(b)	(XIV)		
(1)	Institutions																		
(a)	Mutual Funds/UTI		13	21,78,866	0	0	21,78,866	5.39	21,78,866	0	21,78,866	5.39	0	0.00	0	0.00	NA	0	21,69,668
(a-i)	TATA MUTUAL FUND- TATA EQUITY P/E FUND	AAATT0570A	1	7,00,000	0	0	7,00,000	1.73	7,00,000	0	7,00,000	1.73	0	0.00	0	0.00	NA	0	7,00,000
(a-ii)	MIRAE ASSET EMERGING BLUECHIP FUND	AACTM0203B	1	14,49,533	0	0	14,49,533	3.58	14,49,533	0	14,49,533	3.58	0	0.00	0	0.00	NA	0	14,49,533
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	0
(e)	Foreign Portfolio Investors		95	1,14,70,736	0	0	1,14,70,736	28.36	1,14,70,736	0	1,14,70,736	28.36	0	0.00	0	0.00	NA	0	1,14,68,300
(e-i)	AMANSA HOLDINGS PRIVATE LIMITED	AAKCA7237L	1	32,18,515	0	0	32,18,515	7.96	32,18,515	0	32,18,515	7.96	0	0.00	0	0.00	NA	0	31,91,236
(e-ii)	JWALAMUKHI INVESTMENT HOLDINGS	AACCJ6437F	1	24,92,748	0	0	24,92,748	6.16	24,92,748	0	24,92,748	6.16	0	0.00	0	0.00	NA	0	25,52,650
(e-iii)	INDIA OPPORTUNITIES GROWTH FUND LTD - PINWOOD STRATEGY	AACCI5037L	1	17,82,098	0	0	17,82,098	4.41	17,82,098	0	17,82,098	4.41	0	0.00	0	0.00	NA	0	17,82,098
(e-iv)	MIRAE ASSET INDIA MID CAP EQUITY FUND	AALCM4412E	1	5,08,329	0	0	5,08,329	1.26	5,08,329	0	5,08,329	1.26	0	0.00	0	0.00	NA	0	5,08,329
(f)	Financial Institutions/ Banks		38	34,126	0	0	34,126	0.08	34,126	0	34,126	0.08	0	0.00	0	0.00	NA	0	30,752
(g)	Insurance Companies		4	10,40,808	0	0	10,40,808	2.57	10,40,808	0	10,40,808	2.57	0	0.00	0	0.00	NA	0	10,40,733
(g-i)	THE NEW INDIA ASSURANCE COMPANY LIMITED	AAACN4165C	1	7,20,395	0	0	7,20,395	1.78	7,20,395	0	7,20,395	1.78	0	0.00	0	0.00	NA	0	7,20,395
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	0
(i)	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	0
	Sub-Total (B)(1)		150	1,47,24,536	0	0	1,47,24,536	36.40	1,47,24,536	0	1,47,24,536	36.40	0	0.00	0	0.00	NA	0	1,47,09,453
(2)	Central Government/ State Government(s)/ President of India		1	67	0	0	67	0.00	67	0	67	0.00	0	0.00	0	0.00	NA	0	0
	Sub-Total (B)(2)		1	67	0	0	67	0.00	67	0	67	0.00	0	0.00	0	0.00	NA	0	0
(3)	Non-institutions																		
(a)	Individuals -		75,386	43,54,417	0	0	43,54,417	10.76	43,54,417	0	43,54,417	10.76	0	0.00	0	0.00	NA	0	39,37,147
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		75,386	43,54,417	0	0	43,54,417	10.76	43,54,417	0	43,54,417	10.76	0	0.00	0	0.00	NA	0	39,37,147
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	0
(b)	NBFCs registered with RBI		1	200	0	0	200	0.00	200	0	200	0.00	0	0.00	0	0.00	NA	0	200
(c)	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	0
(d)	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	0
(e)	Any Other (specify)		2,731	24,89,489	0	0	24,89,489	6.15	24,89,489	0	24,89,489	6.15	0	0.00	0	0.00	NA	0	24,76,639
i	Bodies Corporate		277	1,58,108	0	0	1,58,108	0.39	1,58,108	0	1,58,108	0.39	0	0.00	0	0.00	NA	0	1,45,339
ii	Clearing Members		175	2,46,288	0	0	2,46,288	0.61	2,46,288	0	2,46,288	0.61	0	0.00	0	0.00	NA	0	2,46,288
iii	Director or Director's Relatives		2	4,207	0	0	4,207	0.01	4,207	0	4,207	0.01	0	0.00	0	0.00	NA	0	4,207
iv	HUF		1,050	1,24,669	0	0	1,24,669	0.31	1,24,669	0	1,24,669	0.31	0	0.00	0	0.00	NA	0	1,24,669
v	IEPF		1	2,54,474	0	0	2,54,474	0.63	2,54,474	0	2,54,474	0.63	0	0.00	0	0.00	NA	0	2,54,474
vi	LLP		12	1,990	0	0	1,990	0.00	1,990	0	1,990	0.00	0	0.00	0	0.00	NA	0	1,990
vii	Non-Resident Indian (NRI)		1,205	2,40,629	0	0	2,40,629	0.59	2,40,629	0	2,40,629	0.59	0	0.00	0	0.00	NA	0	2,40,585
viii	Overseas corporate bodies		2	14,21,412	0	0	14,21,412	3.51	14,21,412	0	14,21,412	3.51	0	0.00	0	0.00	NA	0	14,21,375
ix	WESTBRIDGE CROSSOVER FUND, LLC	AABCW1259M	1	14,21,375	0	0	14,21,375	3.51	14,21,375	0	14,21,375	3.51	0	0.00	0	0.00	NA	0	14,21,375
x	Trust		6	24,965	0	0	24,965	0.06	24,965	0	24,965	0.06	0	0.00	0	0.00	NA	0	24,965
xi	Unclaimed or Suspense or Escrow Account		1	12,747	0	0	12,747	0.03	12,747	0	12,747	0.03	0	0.00	0	0.00	NA	0	12,747
xii	Others		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	0
	Sub-Total (B)(3)		78,118	68,44,106	0	0	68,44,106	16.92	68,44,106	0	68,44,106	16.92	0	0.00	0	0.00	NA	0	64,13,986
	Total Public Shareholding																		
	(B) = (B)(1)+(B)(2)+(B)(3)		78,269	2,15,68,709	0	0	2,15,68,709	53.32	2,15,68,709	0	2,15,68,709	53.32	0	0.00	0	0.00	NA	0	2,11,23,439

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Shares which remain unclaimed has been transferred to CEAT Limited-Unclaimed Securities Suspense Account (12024300-00080409) and as on Dec 31, 2019, 12747 outstanding shares. The voting rights on these shares shall remain frozen till the rightful owner of such shares claims the shares.

During the quarter ended Dec 31,2019, the Company has transferred _ shares pertaining to _ records to Investor Education and Protection Fund Authority, in accordance with Section 124 (6) of the Companies Act, 2013 and rule made thereunder.

Note:

- PAN would not be displayed on website of Stock Exchange(s).
- The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

(*) Shareholding has been reported based on Common PAN irrespective of the Sub-Accounts

CEAT LIMITED

	Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form																		
																			(I)	(II)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	As a % of (A+B+C2) (VIII)	(IX)			(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)		(XIII)		(XIV)
																			No of Voting Rights			Total as a % of Total Voting rights			No.	As a % of total Shares held	No. (not appli-cable)	As a % of total shares held (Not applicable)	(Not Applicable)							
																			Class X	Class Y	Total	(a)	(b)	(a)						(b)						
(1)	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	NA	0																
(a)	Name of DR Holder (if available)																																			
(i)	abc.....																																			
(ii)	efg.....																																			
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	NA	0	0																
(a)	Name (abc....)																																			
Total Non-Promoter- Non Public Shareholding [C]= [C]1+[C]2			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA			0																
<p>Note</p> <p>(1) PAN would not be displayed on website of Stock Exchange(s).</p> <p>(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares</p> <p>(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,</p>																																				